



TFU

2834

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 205-A.016)

The Application of: **Wobben**

) Group Art Unit: **2834**

Serial No: **10/543,020**

) Examiner:

U.S. Filing Date: **March 29, 2006**

Title: **Method for the Erection of a Wind  
Energy Plant and Wind Energy Plant**

I hereby certify that this  
correspondence is being deposited  
with the United States Postal Service  
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postage in an envelope addressed to  
the Commissioner for Patents, P.O.  
Box 1450, Alexandria, VA 22313-1450  
on September 13, 2006

Date

Michiko Sites  
(person signing this certificate)

Michiko Sites  
Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Submitted herewith are four (4) sheets of a modified Form PTO-1449. A copy of each document cited on the Form PTO-1449 is also submitted. Moreover, an English translation of Denmark 97 00453 is provided. Hard copies of the U.S. Patents/Published U.S. Patent Applications listed on the modified Form PTO-1449 are not attached hereto. (See 37 C.F.R. §1.98(a)(2)). Should the Examiner desire hard copies of such U.S. Patents/Published U.S. Patent Applications, kindly contact the undersigned.


Notably, U.S. Patent 6,425,708 was cited during the prosecution of an application corresponding to an Australian application of the Applicant's which is directed to subject matter similar to the above-referenced application.

Moreover, the other references identified on the attached Form PTO-1449 were cited in an opposition proceeding involving a European application of the Applicant's which is directed to subject matter similar to the above-referenced application. Also submitted

herewith is a copy of the opposition briefs submitted by Repower Systems AG, Siemens Aktiengesellschaft, Vestas Wind Systems A/S, and GE Wind Energy GmbH in that European opposition proceeding. Notably, the opposition briefs discuss, among other things, the relevance of the documents identified in the attached Form PTO-1449 to the inventions claimed in the above-referenced European application.

It is believed that the Examiner may find the documents cited in the modified Form PTO-1449 material to the patentability of one or more of the claims in the above-referenced application. Accordingly, it is respectfully requested that the Examiner make his/her consideration of these documents formally of record with the next Office Action.

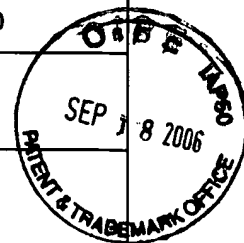
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Neil A. Steinberg', written over a horizontal line.

Date: September 13, 2006

Neil A. Steinberg, Reg. No. 34,735  
Telephone No. 650-968-8079

<b>PTO-1449 (Modified)</b>  <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b>	<b>SERIAL NUMBER</b>
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	<b>Wobben</b>	
	<b>INTERNATIONAL FILING DATE</b>	<b>GROUP ART UNIT</b>
	<b>March 29, 2006</b>	<b>2834</b>



## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,173,537	1/2001	Davidsson et al.			
	4,291,233	9/1981	Kirshbaum			
	4,609,827	9/1986	Napple			
	5,499,482	3/1996	Goff			
	6,400,039	6/2002	Wobben			
	7,066,709	6/2006	Kim et al.			
	7,057,305	6/2006	Kruger-Gotzmann et al.			
	6,946,751	9/2005	Yoshida et al.			
	6,782,667	8/2004	Henderson			
	2006/0013689	1/2006	Wobben			
	4,772,999	9/1988	Fiorina et al.			
	6,425,708	7/2002	Siegfriedsen			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO - Full/Partial
	DE 37 32 670	4/1989	German			
	DE 94 17 738	12/1994	German			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
<b>EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.</b>	

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	EP 0 675 246	10/1995	European			
	DE 295 18 899	1/1997	German			
	JP 07 122438	5/1995	Japanese			
	DK 97 00453 U3	3/1998	Denmark			Yes
	DK 2000 00086 U3	5/2000	Denmark			
	DE 198 21 592 A1	1/1999	Germany			
	DE 42 41 952 C2	3/1996	Germany			
	WO 01/06121 A1	1/2001	PCT			
	WO 99/30031	6/1999	PCT			
	DE 198 16 483 A1	10/1999	Germany			
	DE 198 60 211	11/2000	Germany			
	WO 03/025392	3/2003	PCT			
	EP 0 675 246 A1	10/1995	European			

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	"Le poste socle dans la mise en souterrain des réseaux ruraux", M. Orhac, Revue Generale de L'Electricite, RGE, Paris, France, No. 4/93, April 1993, pp.23-25
	Fotos von der HSW 250 mit der Bau-Nr.: 16 im "Nordfriesland Windpark" im Friedrich-Wilhelm-Lübcke-Koog vom 02.06.2004
	Eidesstattliche Versicherung von Herm Hans-Dieter Struck zur Aufstellung der HSW 250 sowie der Bestätigung, dass diese Anlagen so wie sie heute zu besichtigen sind, den ursprünglich errichteten Anlagen entsprechen
	Informationsblatt zum Nordfriesland Windpark Veröffentlicht: 1991
	Informationsbroschüre zur Nordfriesland Windpark GmbH & Co.KG, herausgegeben von der BVT Beratungs-, Verwaltungs- und Treuhandgesellschaft für internationale Vermögensanlagen mbH, München
	"DeWind Strategische Allianz mit Krupp", Wind-Kraft Journal, Sonderausgabe 1997, Seite 84, Verlag Natürliche Energie GmbH, 24811 Brekendorf
	Neue Energie, Nr. 10/October 1998, Uranus Verlagsges mbH, Neustiftgasse 115A/Top20, A-1070 Wien
	"Bonus Info, The Neverending Story", Henrik Stiesdal et al., Winter 1999, pp.1-2 and 5-9
	Wind-Kraft Journal, Sonderausgabe – Jobs in der Windenergie 2000, Verlag Natürliche Energie GmbH, 24811 Brekendorf
	"Middelgrunden – Danmarks Smukkeste Havmellepark – The Beauty In The Wind", Mads Eskesen, Hans Christian Sorensen et al., April 2001, SPOK ApS, Front page, pp.1-31 and pp.55-60
	"The Middelgrunden Offshore Windfarm - A Popular Initiative", Hans Christian Sorensen et al., Copenhagen Environment and Energy Office, March 2003, pp.1-9, 14-15 and 26-28
	"Middelgrunden Offshore - The Project", Henrik Stiesdal et al., July 1, 2001, pp.1-14
	"Experience from the Establishment of Middelgrunden 40 MW Offshore Wind Farm", Hans Christian Sorensen et al., 2001 European Wind Energy Conference, Copenhagen, Denmark, July 1-7, 2001
	Windenergieanlage vom Typ MD70, Aufstellung der Anlage: 1998, Fotos 1-5 von der offiziellen Vorstellung der ersten MD 70 am 17.09.1998, entwickelt am 18.09.1998 (siehe Bild 1 "Photo-Index")

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	Windenergieanlage vom Typ MD70, Aufstellung der Anlage: 1998, Artikel von Björn Johnsen in "Erneuerbare Energien" Ausgabe 7/99, "MD 70: 1.500-kW-Mühle mit schlankem Design"
	Windenergieanlage vom Typ MD70, Aufstellung der Anlage: 1998, Auflistung der in der Zeit von 1998 bis Juli 2001 errichteter MD70-Anlagen
	Fotos 1-7 vom Aufbau der MD 70 mit der Bau-Nr.: 70002-01, Standort Lichterfelde in der Zeit vom 9.-15. Februar 2000
	Eidesstattliche Versicherung des Herrn Gutmundt Ertel hinsichtlich des Verfahrens zum Aufbau der MD 70 in Lichterfelde
	"North Sea Offshore Wind – A Powerhouse for Europe, Technical Possibilities and Ecological Considerations", Deutsches Windenergie Institut (DEWI), Greenpeace e.V., October 2000, pp.1-2 and 32-56
	Brochure Illustrating Madfeld Facility, Madfeld, Germany, 1999
	Brochure Illustrating Auma Facility, Auma, Germany, 1999
	"A Feasibility Study on Offshore Wind Turbine Systems", B. Björk, Wind Engineering, Vol. 9, No., 4, 1985, pp.243-255

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